

Correlation potential in density functional theory at the GWA level: Spherical atoms

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As part of a project to obtain better optical response functions for nanomaterials and other systems with strong excitonic effects, we here calculate the exchange-correlation (XC) potential of density functional theory (DFT) at a level of approximation which corresponds to the dynamically screened exchange or GW approximation. In this process, we have designed a numerical method based on cubic splines, which appears to be superior to other techniques previously applied to the “inverse engineering problem” of DFT, i.e., the problem of finding an XC potential from a known particle density. The potentials we obtain do not suffer from unphysical ripple and have, to within a reasonable accuracy, the correct asymptotic tails outside localized systems. The XC potential is an important ingredient in finding the particle-conserving excitation energies in atoms and molecules, and our potentials perform better in this regard as compared to the local-density approximation potential, potentials from generalized gradient approximations, and a DFT potential based on MP2 theory.

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I. INTRODUCTION

The work presented here is part of an ongoing investigation aimed at finding more accurate and computationally efficient ways to calculate optical spectra from materials with strong excitonic effects. Typical examples are most semiconductors, rare-gas crystals, atoms and molecules, and nanomaterials. In these systems, the attraction of the excited electron to the hole created in the excitation process is very important and will strongly influence the measured spectra both in the continuum and in the discrete part of the spectra. This so-called particle-hole effect or vertex correction must be accounted for in the theoretical description in order to have a reasonably accurate, quantitative agreement with the experimental results.

The traditional way to incorporate the particle-hole attraction within many-body perturbation theory is to obtain the optical spectra from solutions to the Bethe-Salpeter equation for the four-point vertex function. Unfortunately, this is a very demanding procedure already in high symmetry cases such as crystalline solids, and the method becomes very tough indeed when the symmetry is much lower like, e.g., at surfaces or, even worse, in small systems connected to infinite leads, i.e., nanosystems and nanodevices. In solids, the two-body wave function describing the particle and the hole is usually expanded in valence- and conduction-band one-electron orbitals leading to huge secular problems.

Nevertheless, the Bethe-Salpeter approach has provided a large number of accurate and useful results in many different systems in spite of the fact that many approximations enter the theory such as, e.g., that the actual particle-hole interaction is most often replaced by a statically screened potential. During the past ten years, time-dependent density functional theory (TDDFT) has emerged as a competing and, perhaps, more efficient method for calculating optical absorption spectra including excitonic effects. The central quantity of this approach is the so-called exchange-correlation (XC) kernel, the knowledge of which, unfortunately, is rather limited. The kernel is the functional derivative with respect to the

density of the XC potential which is also a relatively unknown quantity. Due to the pioneering work of several groups within some of the research networks of the European Union,¹⁻⁴ it has been shown that the accurate results of the Bethe-Salpeter approach can be reproduced within the framework of TDDFT provided an appropriate XC kernel is used in the calculation. These results are very encouraging, the drawback being that the method does not provide a recipe for improving the kernel without first improving the underlying approximations within the Bethe-Salpeter approach. However, there is a great computational advantage of any approach based on TDDFT as compared to a more standard many-body approach such as, e.g., that based on the Bethe-Salpeter equation. The former theories are based on two-point correlation functions whereas the latter on four-point correlation functions.

The simplest approximation to the XC kernel is the so-called adiabatic local-density approximation in which the potential is taken to be the exchange-correlation part of the chemical potential of the electron gas evaluated at the instantaneous electron density. This simple approach is, however, known to suffer from many ailments. The accurate results of the Bethe-Salpeter approach, e.g., cannot be reproduced. The next level of approximation is the exchange-only (EXO) approximation which, to our knowledge, has only been applied once to solids,⁵ unfortunately, with rather poor results as far as the spectral properties are concerned. The approximation seems, however, to give reasonable excitation energies in localized systems, and the total energies calculated from the corresponding response function are rather accurate in all systems.^{6,7}

Until recently,⁸⁻¹⁰ one of the drawbacks of TDDFT has been the lack of a systematic approach for obtaining successively better approximations to the XC kernel. Straightforward many-body perturbation theory (MBPT) can certainly be used to generate approximations to the electronic self-energy and the three-point vertex function. However, the subsequent conversion into an XC kernel of TDDFT is not at all guaranteed^{8,9,11} to yield, e.g., a particle-conserving density response function. Particle and current conservations are

important properties of physical response functions which should be built into their construction. A systematic theory for improved kernels within TDDFT has recently been introduced by us.¹⁰ This theory automatically leads to conserving response functions. It is based on an adaptation to TDDFT of our variational approach to many-body perturbation theory.¹² A variational functional of the one-electron Green's function is constructed which yields the total energy of the system when evaluated at that Green's function, which renders the functional stationary. Because of the stationary property of the functional and thus an absence of first-order errors, accurate energies can be obtained already at rather crude Green's functions such as, e.g., a noninteracting one.¹³ The construction of the functional has two basic ingredients: (i) First is the choice of basic functional expression ultimately responsible for the variational quality of the total functional (the size of the second-order corrections to the energies). So far, only two such basic expressions have been considered, one due to Luttinger and Ward¹⁴ (LW) and a simpler one due to Klein.¹⁵ The former was shown to have better variational properties than the latter.¹³ However, as we have shown previously,¹⁰ the LW functional leads to a response function the calculation of which is beyond our present day capabilities in realistic systems. Therefore, we will here consider only the Klein version of the functional. (ii) Second is the choice of Φ functional which is also a functional of the one-electron Green's function G . The formal significance of the Φ functional is that its functional derivative with respect to G yields the electronic self-energy, and its physical significance is that it will contain our physical intuition concerning the importance of different physical processes. For instance, in an extended system, all the screening diagrams should be included in the Φ functional, and in a system with strong correlation effects, it would be appropriate to include some particle-hole and particle-particle ladders. In the present study, we will focus on the screening diagrams in the Φ functional, and the resulting electronic self-energy will thus be that of the GW approximation.¹⁶ Some consideration will, however, be given also to the second-order exchange diagram. The variational approach to MBPT is converted into a density functional theory by a restriction of the variational freedom for the Green's function, which is taken to be one pertinent to a noninteracting system moving in some local external potential $V(\mathbf{r})$. Due to the Hohenberg-Kohn theorem applied to noninteracting electrons and the one-to-one correspondence between the applied potential and the particle density, this restriction immediately turns the variational functional of the Green's function into a variational functional of the density. A rewriting of the Klein functional in terms of the particle density recovers the normal form of the total energy of density functional theory (DFT),¹⁷ in which the so-called XC energy becomes the Φ part of the functional. Functional differentiation with respect to the density yields the XC potential which is the central object of interest in the present work.

Worked aimed at finding the correct density functional (DF) potential pertinent to different approximations started a long time ago. Even before the advent of DFT, Sharp and Horton¹⁸ proposed a method for finding a local potential which would accurately reproduce the total energies and den-

sities of atoms within the Hartree-Fock approximation. This work is, in today's language, best referred to as the first appearance of the EXO approximation or the exact-exchange (EXX) method. This method, sometimes also referred to as an optimized potential method, was later used by Talman and Shadwick¹⁹ for doing calculations on many atoms. In 1982, one of us made use of the Hohenberg-Kohn theorem to find the local potential which exactly reproduces the Hartree-Fock densities of several atoms.²⁰ These calculations are not equivalent to the EXO, but the results are, numerically, very close indeed. Shortly afterward, this numerical fitting procedure was generalized to include also all correlation effects, thus producing²¹ the first exact DF XC potentials for several atoms. As a matter of fact, the exact XC potential for the helium atom was known already at that time through the work of Smith *et al.*²² Aryasetiawan and Stott^{23,24} also found the exact XC potential of DFT for some smaller atoms using a presumably more accurate approach which had the additional advantage of offering insight into the so-called v -representability problem of DFT. In solids, early progress toward an exact DF XC potential was made by Godby *et al.*^{25,26} who actually constructed their XC potential as a solution to the linearized Sham-Schlüter (LSS) equation.²⁶ The self-energy of their choice was again that of the GW approximation (GWA). Through the formal proofs of the present work, we now know that their potential was, in fact, the full random phase approximation (RPA) XC potential of DFT for the semiconductors they studied. Consequently, the work of Godby *et al.* was almost identical in spirit to that of the present work, albeit in solids.²⁷ Later, these results have been corroborated by Grüning *et al.*—even in a self-consistent fashion.²⁸

From the middle of the 1980s, the number of publications describing work aimed at finding improved XC potentials for DF calculations increased rapidly—both with regard to approximations for use in practical calculations and with regard to a fundamental understanding of the behavior of the potentials in exact cases and in model systems. The most accurate XC potentials produced thus far are probably those published by Umrigar and Gonze.²⁹

In the present work, we concentrate on the XC potential at the GW level, which we here prove to be identical to that which minimizes the standard expression for the total energy within the RPA. Our main motivation is an interest in density and current response functions beyond the EXO, and the XC potentials then constitute one of the basic ingredients. Through the work of, e.g., Petersilka *et al.*,³⁰ it has, however, long been realized that the accuracy of the XC potentials is crucial for obtaining accurate excitation energies from TD-DFT. Thus, it is certainly of interest to see how well our GW-based potentials perform in this context. In addition, these potentials provide a good testing ground for our numerical approach based on splines as basis functions for electronic structure calculations in atoms, molecules, and solids.

The paper is organized as follows. In Sec. II, we shortly present the formal framework based on the variational approach to MBPT. In Sec. III, we present our numerical approach and discuss its advantages and shortcomings. Numerical results for several spherically symmetric atoms are

given in Sec. IV. We discuss the behavior of the GW/RPA potentials and compare their performance to that of potentials of other approximations, such as, e.g., the EXX and the MP2. We also calculate particle-conserving excitation energies using our calculated potentials in conjunction with the approximate XC kernel of Petersilka, Gossmann, and Gross (PGG).⁶ Finally, in Sec. V, we draw our conclusions as well as advertise our forthcoming work on response functions.

II. CONSERVING APPROXIMATIONS WITHIN TDDFT

Physical observables of a system of interacting electrons can be calculated within MBPT, where the central quantity is the one-particle propagator or the Green's function G . The latter has a diagrammatic expansion in powers of the Coulomb interaction which, in extended systems, must always be carried to infinite order. Guided by physical intuition, approximations for G can be constructed by including only a selected set of diagrams, appropriate to the system studied. The expansion of G can be written in terms of Dyson's equation,

$$G = G_H + G_H \Sigma G, \quad (1)$$

where G_H is the Hartree Green's function and Σ is the self-energy which contains all the many-body effects above the Hartree level. The Hartree Green's function G_H is the one-electron propagator of noninteracting electrons moving in the total potential consisting of the external potential w and the Hartree potential, i.e., the Coulomb potential from the total electronic charge density.

Within MBPT, also the density response function χ has an expansion in powers of the Coulomb interaction. Choosing only a subset of diagrams, albeit an infinite subset, results in an approximate response function which only by pure chance will obey important conservation laws such as, e.g., particle number, momentum, and energy conservation. A scheme to construct approximations within the framework of MBPT which *are* conserving was first proposed by Baym and Kadanoff.^{31,32} They made use of a functional $\Phi[G]$ with the property that its functional derivative with respect to G is the self-energy¹⁴

$$\Sigma = \frac{\delta \Phi}{\delta G}. \quad (2)$$

As a consequence, the self-energy Σ will be a functional of the interacting Green's function G . The response to external perturbations of a system treated within such an approximation will involve the derivative of the self-energy with respect to G and, consequently, a symmetric second derivative of Φ with respect to G . It can be shown that this symmetry is a sufficient condition for the conserving properties of the resulting response function. Approximations generated from this scheme are called Φ derivable.

About the same time, Klein¹⁵ constructed a variational functional of G composed of the Φ functional and some additional terms,

$$iY_K[G] = \Phi[G] - \text{Tr}\{GG_H^{-1} - 1 + \ln(-G^{-1})\} - iU_0[G]. \quad (3)$$

Here, U_0 is the classical Coulomb interaction energy between the electrons given by $U_0 = \frac{1}{2} \int n v n$. When this Klein functional is varied with respect to G , it is seen to be stationary when G obeys Dyson's equation, Eq. (1). Moreover, at the stationary point, the functional takes the value of the ground-state energy of the system. The functional is general and applies to any system; the reference to the particular system is contained in the Hartree Green's function G_H . Other functionals of G and Φ have been designed such as, e.g., the LW functional¹⁴ or the functional of Almbladh, von Barth, and van Leeuwen (ABL).¹² These functionals have different and generally better variational properties as compared to the Klein functional, and we refer the reader to Ref. 13 for a more comprehensive discussion. In this work, however, we will focus our attention on the Klein functional.

Starting from the Klein functional, we can restrict the variational freedom of the Green's function to noninteracting ones, G_s , generated by a local multiplicative potential V . The Klein functional then becomes a functional of that potential. From the Hohenberg-Kohn theorem, there is a one-to-one mapping between the particle density and the potential which turns the Klein functional into a functional of the density and our theory into a time-dependent density functional theory. The simplicity of a noninteracting Green's function allows us to convert the Klein functional into the form

$$Y_K[V] = -i\Phi[G_s] + T_s[n] + \int w n + U_0, \quad (4)$$

where T_s is the kinetic energy of noninteracting electrons and w is the external potential. It is now clear that $-i\Phi$ plays the role of the XC energy E_{XC} .¹⁷ Varying this form with respect to the potential V , we find it to be stationary when the potential is given by

$$V = w + V_H + v_{XC},$$

where $V_H = \int n v$ is the Hartree potential and where v_{XC} obeys

$$\int i\chi_s(1,2)v_{XC}(2)d2 = \int \Sigma(2,3)G_s(3,1)G_s(1,2)d(23). \quad (5)$$

This is the well known so-called linearized Sham-Schlüter (LSS) equation,²⁶ which here is seen to follow from a variational principle rather than being just the first iteration of an infinite number of iterations leading to the solution to the full Sham-Schlüter equation.^{33,34} We here remark that there is also a self-consistency procedure involved in solving the LSS because the noninteracting so-called Kohn-Sham (KS) response function χ_s , as well as the self-energy Σ are both expressed in orbitals obtained from solving a one-electron Schrödinger equation in which the unknown XC potential v_{XC} is part of the local potential. As pointed out by Casida, it is also worth noting that v_{XC} obtained in this way can be seen as the best local approximation to the self-energy in a variational sense.³⁵

$$\Phi^{\text{GW}} = -\frac{1}{2} \text{---} \text{---} \text{---} - \frac{1}{4} \text{---} \text{---} \text{---} - \frac{1}{6} \text{---} \text{---} \text{---} + \dots$$

$$\Phi^{2^{\text{ord}}} = -\frac{1}{2} \text{---} \text{---} \text{---} - \frac{1}{4} \text{---} \text{---} \text{---} - \frac{1}{4} \text{---} \text{---} \text{---}$$

FIG. 1. Diagrammatic representation of the Φ functional in the two approximations studied in this paper.

The conserving properties, and particle conservation, in particular, are important when calculating response functions from TDDFT. Within TDDFT, the interacting density response function χ can be shown to be given by³⁶

$$\chi = \chi_s + \chi_s[v + f_{\text{XC}}]\chi, \quad (6)$$

where

$$f_{\text{XC}} = \frac{\delta v_{\text{XC}}}{\delta n}.$$

A further variation of Eq. (5) with respect to the density gives us an equation for the two-point XC kernel f_{XC} .¹⁰ Because of the underlying Φ derivability of the theory, the resulting response function obeys, e.g., particle conservation which, in the linear response regime, amounts to the f -sum rule. Another important property which also follows from the variational and conserving character of this theory is the well known virial theorem, which can be used as a stringent test of the accuracy of the calculation of the total energy. In the Appendix, the reader can find an explicit derivation of that theorem in the context of the present theory.

A. GW approximation

In this work, we are interested in investigating the DF approximation resulting from choosing Φ in the GWA, see Fig. 1. This approximation corresponds to a summation of all ring diagrams, where the propagators are KS Green's functions,

$$\Phi_s^{\text{GW}} = \frac{1}{2} \text{Tr}\{\ln(1 + ivG_sG_s)\}. \quad (7)$$

Inserting Φ_s^{GW} into the Klein functional results in an energy expression corresponding to the well known random phase approximation (RPA) for the total energy. Consequently, the total energy within the RPA is a variational expression and the stationary point has been proven to be a minimum.³⁷ Its minimization with respect to the density yields the ground-state energy and density, as well as the corresponding XC potential v_{XC} .

Taking the functional derivative of Φ in Eq. (7), we obtain the self-energy

$$\Sigma_s(1,2) = iG_s(1,2)W(1,2), \quad (8)$$

where the effective interaction W is given by

$$W = [1 - v\chi_s]^{-1}v, \quad (9)$$

and χ_s is the KS noninteracting polarization propagator. The LSS equation can then be split into two terms and written symbolically as

$$\int \chi_s v_{\text{XC}} = \int iG_s[v + v\chi^{\text{RPA}}v]G_s. \quad (10)$$

Keeping only the first term, the Hartree-Fock term, results in what is known as the exact-exchange (EXX) approximation or, sometimes, the exchange-only (EXO) approximation and has been discussed earlier by several authors.^{6,7,18,19,38} The second term gives the correlation part of the potential and is expressed in terms of the interacting polarization propagator χ^{RPA} , which, within the ring approximation or the RPA, is given by

$$\chi^{\text{RPA}} = \chi_s + \chi_s v \chi^{\text{RPA}}. \quad (11)$$

In the following, we will denote the self-consistent XC potential corresponding to the GW level of the Klein functional by $v_{\text{XC}}^{\text{RPA}}$. This potential is more commonly referred to as the XC potential of the RPA.

B. Second-order approximation

In the previous section, a summation of Φ diagrams up to infinite order in the Coulomb interaction is carried out. A conserving approximation does, however, not require an infinite set of Φ diagrams. In the conserving second-order approximation, also known as the Born approximation, all diagrams which are at most second-order in the Coulomb interaction and only those diagrams are included in the Φ functional, see Fig. 1. Except from the first-order Fock diagram, there are then, in total, two more diagrams to be considered. One is the first screening diagram, also included in the GWA. The second is the first vertex exchange diagram and it is not included in the GWA.

When considering only noninteracting KS Green's functions and choosing Φ in the second-order approximation, the resulting energy expression is easily seen to be equivalent to the second-order energy expression obtained in Møller-Plesset perturbation theory (MP2). This approximation is common in quantum chemistry where the inserted orbitals are those of the Hartree-Fock approximation. In our variational approach, the MP2 expression for the total energy can also be minimized with respect to the density, thus yielding a minimizing local XC potential. This potential was recently calculated by Jiang and Engel.³⁹ For the purpose of testing our numerical approach described in the next section, we have repeated their calculation. In accordance with the notation of their paper, we will denote that correlation potential by v_C^{MP2} .

III. NUMERICAL APPROACH

The solution to the linearized Sham-Schlüter equation is complicated by the singularities of the kernel χ_s giving rise to numerical instabilities. Also, χ_s contains an infinite num-

ber of unoccupied states leading to integrals over the continuum. When, like here, a finite basis is used, these integrals turn into discrete sums. Previous atomic and molecular calculations have led to both unphysical oscillations and to an incorrect asymptotic behavior of the potential, as has been discussed by many authors.^{39–43} In an attempt to avoid these difficulties, we have designed a basis set consisting of cubic splines.

A. Cubic splines as radial basis functions

We start by distributing a set of five nodes, not necessarily equidistant, along the radial axis,

$$R = \{r_k: k=0, \dots, 4; r_k < r_{k+1}; r_k \in \mathbb{R}\}.$$

From these nodes we can form a localized, piecewise third-order polynomial function S in the following way:

(1) Cubic polynomials $P_k = a_k r^3 + b_k r^2 + c_k r + d_k$ are defined on the four intervals $I_k = [r_{k-1}, r_k]$, $k=1, \dots, 4$. In each of these, $S(r) = P_k(r)$.

(2) When $r \leq r_0$ and $r \geq r_4$, the function S is zero.

(3) The function S is required to be continuous and to have a continuous first and second derivatives on the *whole* real axis. This means that for $k=1, \dots, 3$,

$$P_k(r_k) = P_{k+1}(r_k),$$

$$P'_k(r_k) = P'_{k+1}(r_k),$$

$$P''_k(r_k) = P''_{k+1}(r_k),$$

and at the end points,

$$P_1(r_0) = 0, \quad P_4(r_4) = 0,$$

$$P'_1(r_0) = 0, \quad P'_4(r_4) = 0$$

$$P''_1(r_0) = 0, \quad P''_4(r_4) = 0.$$

Functions designed in this manner are in the numerical literature called cubic splines.⁴⁴ From the way the spline above is constructed, there are 16 unknown coefficients of the four cubic polynomials and 15 matching conditions. The coefficients can then be determined up to a common factor. By fixing this factor, the spline is uniquely defined on the given set R .

For the purpose of building up a basis set, we distribute a set of mesh points $M = \{r_k: k=0, \dots, N+3; r_k < r_{k+1}; r_k \in \mathbb{R}\}$ along the radial axis. From the set M , we can define the subsets $R_i = \{r_k: k=i, \dots, i+4; r_k \in \mathbb{R}\}$, and on every subset R_i , we can define a spline S_i . This generates a basis set of N splines with a distribution in space determined by the choice of mesh. This mesh should certainly be chosen to suit the physical problem at hand. One of the first computer codes for atomic calculations was constructed by Herman and Skillman⁴⁵ who chose radial mesh points with a separation increasing quadratically with the distance from the nucleus—a so-called cubic mesh. In later years, an exponential mesh became more common but the idea is similar. It is important to stack points close to the nucleus in order to account for the

rapid oscillations produced by the strong nuclear potential, while a much coarser mesh is sufficient in the outskirts of the atom where the wave functions decay exponentially. The exponential mesh is extreme in the sense that one obtains a very accurate description close to the nucleus, whereas that mesh gives a poor description some distance away from the atom. This is fine if only occupied states of single atoms are considered. MBPT requires also a reasonable description of the excited one-electron orbitals for which the exponential mesh is inadequate. It would also be less appropriate if one should like to add another atom some distance away to form a molecule. Thus, we have here settled on a cubic mesh and have chosen our mesh points according to $r_k = [h(k-3)]^3$, $k=0, \dots, N+3$, where the “spacing” h is determined by the relation $r_{\max} = [h(N-3)]^3$. This choice is further supported by convergence tests carried out by Stankovski.⁴⁶ Consequently, our entire numerical procedure has two basic parameters, the number of splines N and the maximum radius r_{\max} outside which no physics is of interest to us. We are, e.g., not interested in highly excited states or in scattering problems. We are interested in low lying excitations or in higher excitations only to the extent that they indirectly affect the low-energy excitations. Of course, the convergence of our results with respect to both these numerical parameters has been thoroughly checked. However, we find it essential to stress that, due to the completeness of the splines, the results must converge toward the exact results for the chosen physical approximation when N and r_{\max} are made arbitrarily large. Thus, there is no need to discuss the dependence of the results on the quality of the chosen basis set and on the choice of particular exponents of Gaussians or of Slater functions.

The KS equation is a second-order differential equation, the solutions of which are required to have a continuous first derivative. A potential with no discontinuities in the form of steps gives rise to solutions with a second derivative which is also continuous. Consequently, our cubic splines fulfill the basic requirements for radial basis functions, and they have the additional advantage of constraining the potential to be continuous, which is a property reflecting our prejudices concerning a proper XC potential.

Before ending this section, we would like to mention a further important numerical consequence of using splines as basis functions. Every single spline does only overlap with its three closest neighbors on both sides. Consequently, the matrices of the corresponding secular problem are band matrices for which there exist numerous efficient diagonalization algorithms.

B. Re-expansion procedures

The general practical procedure followed here in order to find the XC potential pertinent to the GW approximation is as follows. A starting potential like that of the simple local-density approximation (LDA) is used to generate a KS noninteracting Green’s function G_s . From this Green’s function, we easily obtain the KS noninteracting density response function χ_s . The time-ordered version of χ_s is

$$\chi_s(\mathbf{r}, \mathbf{r}', \omega) = \sum_q \frac{f_q(\mathbf{r}) f_q^*(\mathbf{r}')}{\omega^2 - (\omega_q - i\eta)^2}, \quad (12)$$

where $q = (k, \mu)$ is a particle-hole index, ω is the frequency, $\omega_q = \epsilon_{\mu} - \epsilon_k$ is a particle-hole excitation energy, and f_q is an

“excitation amplitude,” i.e., a product of the occupied KS orbital φ_k and the unoccupied KS orbital φ_μ . The fact that the KS response function χ_s is diagonal in this “excitation basis” allows for a very simple and efficient way of solving for the full RPA density response function χ^{RPA} of Eq. (11).

Consequently, a substantial part of the numerical effort must be spent on finding an efficient and accurate way of representing the product of two one-electron orbitals. Just solving the ordinary KS equations presents a similar problem. At every step toward self-consistency, the electron density, being the sum of the squares of the occupied orbitals, must be recalculated. In a numerical approach based on localized orbitals, an obvious choice of basis for the products of the wave functions would be the product of the basis functions. With N basis functions, this means that one would use N^2 basis functions for the product functions, meaning e.g., that the matrix describing the response function χ_s would be $N^2 \times N^2$. This is clearly an unnecessary effort. For instance, people using an approach based on linear muffin-tin orbitals (LMTOs) would use products of LMTOs for describing χ_s but would only use a subset of the N^2 products of LMTOs. The actual product basis functions included can, e.g., be determined numerically by measuring the degree of variational freedom gained by adding one extra product basis function.⁴⁷ Unfortunately, in this way, one may be able to reduce the number of basis functions for the products by a factor of 2 or 3, which is not a very large gain if N is large. In the case of methods based on plane waves, the situation is slightly better. If the accuracy of the wave functions is considered enough by including all plane waves up to a chosen momentum cutoff, quantities such as the density and the excitation functions will contain, on the average, eight times as many plane waves as the wave functions.

In our case, using the N cubic splines as basis functions, our excitation functions would be a sum of polynomials of degree 6. However, if it is sufficient to describe the wave functions in terms of N polynomials of the third degree, intuition suggests that the same should also be true for the density and the excitation functions. We thus re-expand products of wave functions in terms of the same set of cubic splines as used for the wave functions. The accuracy of this intuition has to be verified numerically. We have found that, in the case of a fixed atomic potential, i.e., no charge density or self-consistency is involved, the accuracy of the KS eigenvalues increases by an order of magnitude and the relative error decreases to 10^{-5} when increasing the number of splines from 20 to 30. However, including also errors arising from the re-expansion of the self-consistent density in terms of the same number of cubic splines as used for the wave functions, this error increases by a factor of 3 at 30 splines. By a rather minor increase in the number of splines, as compared to eight times the number of plane waves or the number of localized basis functions squared, we regain the full accuracy (10^{-5}) at just below 40 cubic splines. From this, we conclude that the cubic splines constitute a superior basis set for many-body calculations involving two-point correlation functions.

The so obtained functions χ^{RPA} and G_s are finally used to calculate the screened interaction W and the self-energy Σ of the GWA. Then, the LSS, Eq. (5), is solved for the XC po-

tential v_{XC} by expanding it too in our cubic splines and inverting χ_s expressed as a matrix in cubic splines. As discussed above, this matrix is singular. The physical reason for this is that the response of a constant potential is zero. Inverting χ_s is, thus, not a unique operation. This difficulty can be circumvented by adding the constraint:

$$\lim_{r \rightarrow \infty} v_{\text{XC}}(r) = 0. \quad (13)$$

Mathematically, this means to invert the matrix χ_s in the subspace orthogonal to that defined by the zero eigenvalue of χ_s .

In all our calculations, the EXX potential was first calculated and then used as a starting guess. The convergence criterion in our calculations was set to $|n^{(k)}(r) - n^{(k-1)}(r)| \leq 10^{-5}$ and was reached in a few iterations for all spherical atoms up to Ar.

IV. RESULTS FOR SPHERICAL ATOMS

In the present paper, we present results for the spherical atoms He, Be, Ne, Mg, and Ar, see Figs. 2–4. In the cases of He, Be, and Ne, we compare our results to existing exact density functional potentials.²⁹

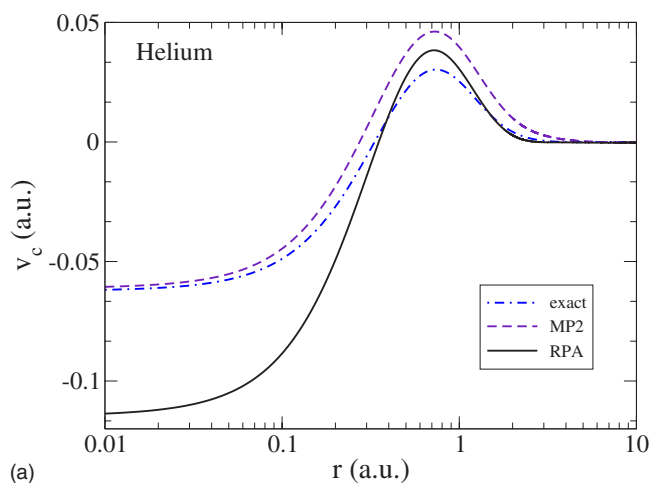
A. RPA correlation potential

The correlation potentials in this work are defined as the difference between the self-consistent XC potentials calculated within the RPA, or MP2, and that of the EXX,

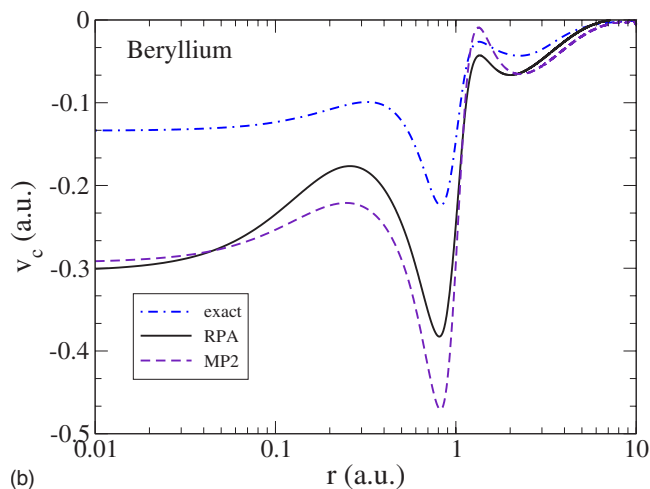
$$v_C(r) \equiv v_{\text{XC}}[n_{\text{XC}}](r) - v_{\text{X}}[n_{\text{X}}](r). \quad (14)$$

Note that the correlation potential is defined as the difference between potentials calculated at two different densities. For a more detailed discussion of this point, we refer to Sec. IV C.

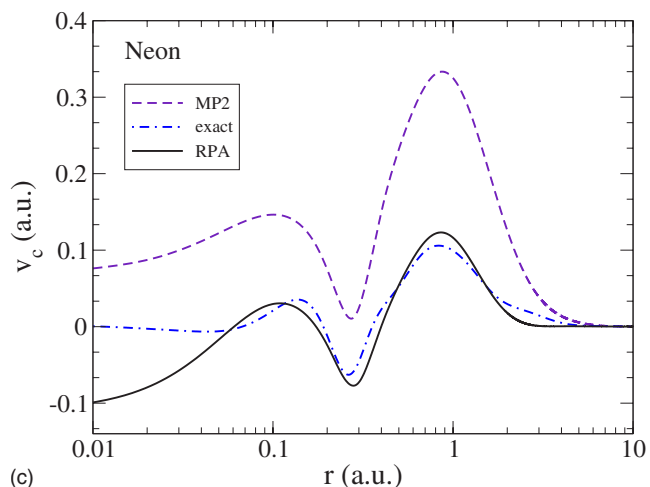
In Fig. 2, the RPA and MP2 correlation potentials for He, Be, and Ne are presented and compared to the exact correlation potentials.²⁹ The characteristic shell oscillations inherent in the exact potential cannot be reproduced by potentials depending explicitly on the density. Indeed, both LDA and gradient corrected potentials lack the correct shell oscillations (see, e.g., Ref. 39). The potential v_C^{RPA} , however, which is an implicit density functional through the dependence on the KS orbitals and eigenvalues, can be seen to reproduce these oscillations very well, and this is also the case for the potential of MP2. Except at the origin, the amplitudes of the oscillations of v_C^{RPA} are much closer to those of the exact potential as compared to the case of v_C^{MP2} . At the origin, the RPA potential appears to deviate the most from the exact potential by being too attractive for all atoms. It should then be remembered that the values of the potential in this region are expected to be of less importance due to the strong singular Coulomb potential from the nucleus. It is tempting to interpret the superiority of the RPA correlation potential to that of the MP2 in the outer parts of the atoms as an increased importance of screening in this region. Similarly, one might guess that short range correlations are more important in the interior of the atoms leading to a better performance of the MP2 potential in this region.



(a)



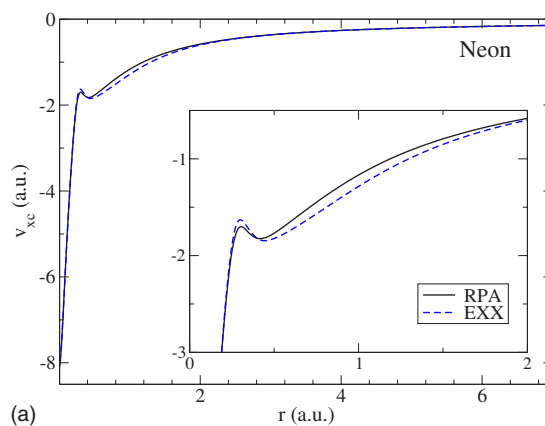
(b)



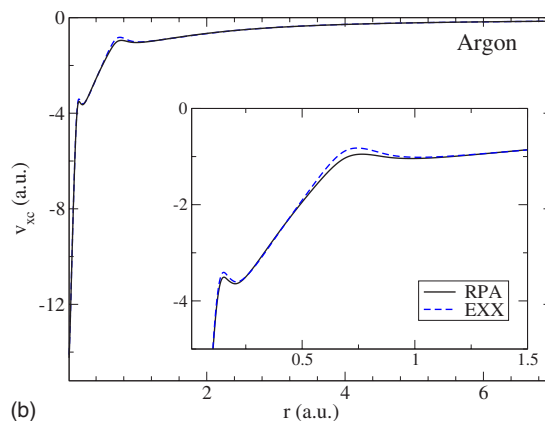
(c)

FIG. 2. (Color online) Self-consistent correlation potentials for He, Be, and Ne. The RPA potential is compared to the MP2 potential and to the exact potential. For Be, no self-consistent MP2 potential can be obtained. Instead, the potential was evaluated at the EXX density. For further discussion, see Ref. 39.

In order to demonstrate the effect of correlations on the total XC potential, we compare the RPA version of v_{XC} to the potential of v_X of EXX in Fig. 3 (for Ne and Ar). As ex-



(a)



(b)

FIG. 3. (Color online) The total XC potential v_{XC}^{RPA} of Ne and Ar compared to v_X . The effect of correlations is seen to be relatively small and, as expected, the shell oscillations are damped by the inclusion of correlation effects.

pected, the shell oscillations are damped by correlation. Due to the good performance of our numerical method, the $1/r$ asymptotic behavior can be observed to a large radius without any fitting procedure. According to Niquet *et al.*,⁴⁸ the RPA correlation potential should have a polarization tail of the form $-\alpha_N^{RPA}/2r^4$, where α_N is the static polarizability of the corresponding atom. This effect can, however, only be observed at a considerable distance from the atom, ≈ 20 bohr

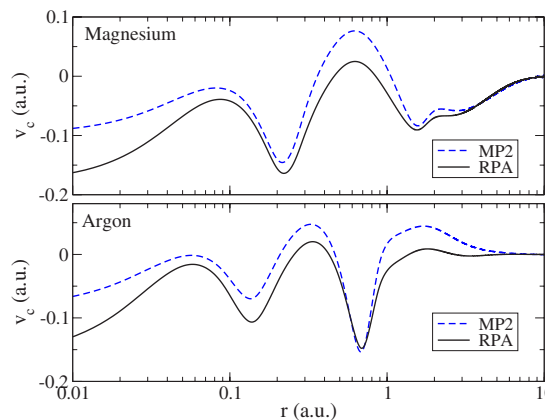


FIG. 4. (Color online) The RPA and MP2 correlation potentials of Mg and Ar.

TABLE I. Ionization potentials for some atoms. The RPA potential produces very accurate ionization potentials compared to other potentials with the correct $1/r$ decay. Values are in hartrees.

Atom	RPA	MP2	EXX	Expt. ^a
He	0.902	0.893	0.918	0.903
Be	0.354	0.357 ^b	0.309	0.343
Ne	0.796	0.657	0.851	0.792
Mg	0.297	0.302	0.253	0.281
Ar	0.590	0.558	0.591	0.579
MAE ^c	0.009	0.040	0.030	

^aExperimental data taken from Ref. 39.

^bCalculated using the EXX density.

^cMean average error.

radii. An accurate description of the potential at such large distances requires many more basis functions as compared to our standard calculations. Increasing the accuracy in the asymptotic region, a tendency toward such a tail was, however, observed.

Finally, in Fig. 4, the RPA and MP2 correlation potentials of Mg and Ar are displayed. The presence of a third s shell, as well as a second p shell for Ar, can be observed.

B. Ionization potentials

Within exact DFT, the highest occupied orbital eigenvalue equals the negative of the ionization potential.⁴⁹ A further test of the quality of the RPA XC potential is thus a comparison between the eigenenergy of the highest occupied molecular orbital and the experimental ionization potential. Results are presented in Table I. In this table, we also present the corresponding results obtained from the EXX and from MP2. The latter approximations also give the proper $-1/r$ tail of the potential, which is very important for obtaining a reasonable ionization potential. Therefore, we have not considered it worthwhile to include results from other local potentials such as, e.g., those of the LDA or different GGAs. As can be seen, the ionization potentials of the RPA potential are in very good agreement with experiment and represent a significant improvement on the EXX and also on MP2. Consequently, in this regard, the v_{XC}^{RPA} is the best performing potential presently known.

C. Total energies and role of self-consistency

Using the self-consistent KS orbitals and eigenvalues, the total energy was calculated from Eq. (4). The results for different atoms and are presented in Table II. We see that the correlation energy is overestimated by almost a factor of 2 for the small atoms, leading to a too low total energy. This tendency within the RPA has previously been pointed out by several workers.^{13,50} The MP2 energy functional is seen to perform much better in this regard.

We have found the virial theorem (VT) to be a convenient test of the accuracy of our calculations. Therefore, in the Appendix, this theorem has been proven by us in the case of any conserving density functional approximation. As a mat-

TABLE II. Total ground-state energies calculated from the self-consistent density. The RPA energy functional gives too large correlation energies, whereas the MP2 functional performs much better.

Atom	RPA	MP2 ^a	EXX	Expt. ^a
He	2.945	2.909	2.862	2.904
Be	14.754	14.697	14.572	14.667
Ne	129.143	129.027	128.545	128.937
Mg	200.296	200.129	199.612	200.059
Ar	527.908	527.661	526.650	527.604

^aExperimental data and MP2 values are taken from Ref. 39.

ter of fact, in our calculations, the VT is obeyed to within six significant digits. This is a very satisfactory test on the overall accuracy of our calculations. It is important to point out that the VT only holds if the self-consistent orbitals and one-electron eigenvalues are used in the evaluation of the energies.

In order to investigate the variational properties of the total energy of the RPA as a function of the density, we have evaluated this functional using orbitals and one-electron eigenvalues also from the LDA, MP2, and the EXX. The results are illustrated in Fig. 5. In all cases, we find a higher energy compared to the fully self-consistent RPA result, in accordance with our previously proven theorem that the RPA density functional has a minimum.³⁷ We also studied the variation of the potential with respect to the density used for its evaluation. Only small changes in the potential was observed. Comparing to the results of Jiang and Engel,³⁹ we conclude that the RPA potential is more stable with respect to variations in the density.

D. Two-electron excitation energies

The particle-conserving or two-electron excitation energies can be obtained from the full density response function χ of the system. In finite systems, there are at least a few such excitation energies below the continuum edge which then show up as poles of χ . Within exact TDDFT, these poles

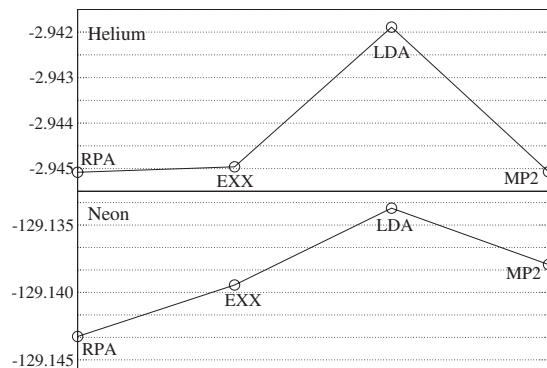


FIG. 5. The total energy for He and Ne in the RPA calculated with orbitals and one-electron eigenvalues from the LDA, EXX, MP2, and the RPA. The RPA orbitals are seen to give the lowest energy confirming that the RPA functional has a minimum.

TABLE III. KS eigenvalue differences for He. The values of the RPA and MP2 improve significantly on the EXX values.

Transition	RPA	MP2	EXX	Exact. ^a
$1s \rightarrow 2s$	0.744	0.736	0.760	0.746
$1s \rightarrow 3s$	0.844	0.836	0.861	0.839
$1s \rightarrow 2p$	0.775	0.768	0.791	0.777
$1s \rightarrow 3p$	0.855	0.846	0.871	0.848
MAE	0.004	0.006	0.018	

^aTaken from Ref. 29.

are zeros of the expression $\chi_s^{-1} - v - f_{XC}$, where v is the bare Coulomb interaction, f_{XC} is XC kernel, and χ_s is the noninteracting KS response function with poles at the differences between the DF eigenvalues. In a one-pole approximation, the two-particle excitation energies are seen to be the difference between an occupied and an unoccupied DF eigenvalue corrected by some matrix elements with respect to DF orbitals of the Coulomb interaction and the XC kernel f_{XC} . It has been shown previously by Petersilka *et al.*³⁰ that the latter matrix elements have a much smaller influence or effect on the calculated excitation energies than the eigenvalue difference obtained by using different approximations to the XC potential. Of course, the presence of a sum over all poles will affect the actual zeros of the denominator of χ , i.e., the excitation energies. If, however, these zeros are well separated, as is often the case in the discrete part of the spectrum, also this effect is much smaller than the eigenvalue differences produced by different XC potentials. As a consequence, an accurate XC potential is of vital importance for obtaining accurate two-particle excitation energies.

In the Tables III–V, the KS eigenvalue differences in RPA are compared to the exact eigenvalue differences obtained from Ref. 29 and to the MP2 and EXX eigenvalue differences. The results show that the mean average error is significantly reduced as compared to the EXX approximation. For He and Be, the MP2 approximation also improves the EXX values.

The magnitude of the Hartree contribution and the f_{XC} contribution to the true excitation energies are presented in Tables VI and VII. We see that the KS eigenvalue differences are already very close to the true excitation energies. Includ-

TABLE IV. KS eigenvalue differences for Be. The errors in the EXX eigenvalue differences are reduced by a factor of 2 in both the RPA and MP2. Note that the MP2 values are calculated from the EXX density.

Transition	RPA	MP2	EXX	Exact. ^a
$2s \rightarrow 3s$	0.254	0.253	0.214	0.244
$2s \rightarrow 2p$	0.131	0.128	0.131	0.133
$2s \rightarrow 3p$	0.276	0.276	0.234	0.269
$2s \rightarrow 4p$	0.332	0.330	0.297	0.305
$2s \rightarrow 3d$	0.292	0.292	0.241	0.283
MAE	0.011	0.011	0.023	

^aTaken from Ref. 29.

TABLE V. KS eigenvalue differences for Ne. The RPA results are almost an order of magnitude better than those of the EXX which are better than those of MP2.

Transition	RPA	MP2	EXX	Exact. ^a
$1s \rightarrow 3s$	30.639	30.591	30.628	30.633
$1s \rightarrow 3p$	30.715	30.652	30.706	30.706
$1s \rightarrow 3d$	30.773	30.699	30.766	30.759
$2s \rightarrow 3s$	1.462	1.336	1.526	1.469
$2s \rightarrow 3p$	1.538	1.398	1.604	1.542
$2s \rightarrow 3d$	1.595	1.444	1.664	1.595
$2p \rightarrow 3s$	0.607	0.492	0.659	0.612
$2p \rightarrow 3p$	0.683	0.553	0.737	0.684
$2p \rightarrow 3d$	0.740	0.600	0.797	0.738
MAE	0.007	0.111	0.046	

^aTaken from Ref. 29.

ing the Hartree contribution, i.e., evaluating the poles of the RPA response function, drives the KS values further away from the true excitation energies. Including the f_{XC} part cancels this error, and in most cases, we come back to a value of the same quality as the KS eigenvalue differences. For some transitions, however, e.g., the $2s \rightarrow 2p$ transition in Be, it is necessary to include both the Hartree and the f_{XC} contributions.

V. CONCLUSIONS AND DISCUSSION

In the present work, we have calculated that local potential which through a one-particle Schrödinger equation generates the orbitals which minimize the expression for the total energy within the RPA. The systems studied are spherical atoms. We have found that the correlation part of this potential—defined as the total RPA potential minus that corresponding to the EXX—has the effect of softening the shell structure produced by the potential of the EXX. This shell structure consists of rapid oscillations of the XC potential between the atomic shells.

For several spherical atoms, we have compared the spatial dependence of our RPA potential to that of the exact DF

TABLE VI. Excitation energies for He. In columns 2–4, the difference between the poles of the response function, in three different approximations, and the experimental values are presented. Note that the poles of χ_s are just the KS eigenvalue differences. The last column gives the experimental values taken from Ref. 30. Already the KS eigenvalue differences are close to the experimental results.

Transition	$(\chi_s)^{-1}$	$(\chi^{\text{RPA}})^{-1}$	$(\chi^{\text{PGG}})^{-1}$	Expt.
$1s \rightarrow 2s$	-0.014	+0.010	+0.006	0.758
$1s \rightarrow 3s$	+0.002	+0.013	+0.008	0.843
$1s \rightarrow 2p$	-0.005	+0.005	+0.002	0.780
$1s \rightarrow 3p$	+0.006	+0.012	+0.008	0.849
MAE	0.007	0.010	0.006	

TABLE VII. Excitation energies for Be. The columns present the same quantities as in Table VI. The $2s \rightarrow 2p$ KS transition is seen to be responsible for the large MAE in the first column and needs to be corrected by the Hartree and the f_{XC} term.

Transition	$(\chi_s)^{-1}$	$(\chi^{\text{RPA}})^{-1}$	$(\chi^{\text{PGG}})^{-1}$	Expt. ^a
$2s \rightarrow 3s$	+0.005	+0.017	+0.010	0.249
$2s \rightarrow 4s$	+0.013	+0.027	+0.014	0.297
$2s \rightarrow 2p$	-0.063	+0.024	-0.002	0.194
$2s \rightarrow 3p$	+0.002	+0.012	+0.007	0.274
MAE	0.020	0.020	0.008	

^aTaken from Ref. 30.

potential defined to be the potential which, through the Kohn-Sham procedure, yields the exact electron density of the many-body system. Such exact potentials exist in the cases of the He, Be, and Ne atoms. We have found that the RPA potentials are closer to the exact DF potentials than the corresponding local potentials of MP2 theory and much closer than the potentials of the LDA or of any of the GGAs.

It is relatively difficult to judge the quality of a given local potential from a study of its spatial dependence. Within DFT, the highest occupied eigenvalue ought to equal the negative of the ionization potential. We have found that our RPA potentials perform very well in this regard, much better than any traditional potential but also better than the local potential from MP2 theory. Our average error in the obtained ionization potentials are ~ 0.24 eV compared to ~ 1.1 eV in the case of MP2 theory and ~ 0.8 eV within the EXX. We interpret this result as being due to the GWA providing a better description of correlation effects among the more loosely bound valence electrons being ionized as compared to the case of MP2.

Another measure of the quality of the calculated RPA potentials can be obtained from a study of two-electron excitation energies. Within TDDFT, and using a single-pole approximation, these can be obtained as differences between DF eigenvalues corrected by relatively small matrix elements of the bare Coulomb interaction and the XC kernel. The latter corrections are relatively insensitive to the orbitals used in their evaluation and on the choice of XC kernel. Due to the accurate DF potential of the GWA, i.e., the RPA potential, the corresponding eigenvalues are close to the exact DF eigenvalues and the resulting two-electron excitation energies are also very accurate.

In the present work, we have shown that the traditional RPA follows from a special choice of variational expression for the total energy involving the GWA for the functional Φ from which the electronic self-energy is obtained as a functional derivative with respect to the Green's function. This guarantees that the density response function obtained by perturbing the system by an external potential will be conserving, meaning, e.g., that it will obey the f -sum rule. Another consequence is the fact that the ground-state energies obey the virial theorem, which is proven here explicitly for any approximation within TDDFT obtained from the variational approach to MBPT.

The demonstrated high quality of the XC potential within the RPA, particularly with regard to energy differences, in-

duces strong hopes that the density response function obtained by perturbing the system will be very accurate indeed. This will be the topic of a future publication.

In the present paper, we have introduced another way of doing electronic structure calculations based on cubic splines as radial basis functions. The original motivation for the introduction of this somewhat unusual basis set was the desire to circumvent numerical difficulties associated with the known singularities of the Kohn-Sham noninteracting density response function. The latter gives no response to a constant potential (long-wavelength limit) and a very small response to a very rapidly varying potential (limit of short wavelength). To judge from the high accuracy of our results, the splines appear to be ideally suited to deal with the latter problem.

We also want to stress two more advantages of our numerical technique based on the cubic splines. (i) The proven accuracy of the re-expansion of a product of two splines in terms of splines guarantees that the matrices corresponding to two-particle propagators such as, e.g., response functions are of the same sizes as one-particle propagators such as, e.g., Green's functions or—for that matter—wave functions. This property is a clear advantage over more standard basis sets consisting of, e.g., plane waves or LMTOs. (ii) The secular problem based on splines requires the handling of sparse matrices for which there exist efficient standard computer codes. Our nice experience from using the splines on atomic problems suggests that we ought to implement similar methods also in molecules and solids.

Work to apply the ideas introduced in the present paper to also calculate density response functions and physical properties such as, e.g., polarizabilities is in progress.

ACKNOWLEDGMENTS

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APPENDIX: THE VIRIAL THEOREM

We will prove that the virial theorem holds for any conserving approximation within DFT generated from the Klein functional. In the full many-body case, the virial theorem has already been proven in a conserving approximation.⁵¹

Consider the Klein functional as a functional of the density n :

$$Y_K[n] = -i\Phi[G_s] + T_s[n] + \int wn + U_o. \quad (\text{A1})$$

Keeping the normalization, the density is scaled with respect to the spatial coordinates,

$$n^\lambda = \lambda^3 n(\lambda \mathbf{r}). \quad (\text{A2})$$

Due to the stationary property of Y_K , we have that

$$\left(\frac{dY_K[n^\lambda]}{d\lambda} \right)_{\lambda=1} = 0. \quad (\text{A3})$$

Let us see how each term in the Klein functional scales when we scale the density as in Eq. (A2). The Hartree term scales linearly ($v=1/r$),

$$\begin{aligned} U_o^\lambda &= \frac{1}{2} \int d^3r d^3r' v(\mathbf{r}-\mathbf{r}') \lambda^3 n(\lambda \mathbf{r}) \lambda^3 n(\lambda \mathbf{r}') \\ &= \frac{\lambda}{2} \int d^3r d^3r' v(\mathbf{r}-\mathbf{r}') n(\mathbf{r}) n(\mathbf{r}') = \lambda U_o, \end{aligned} \quad (\text{A4})$$

so

$$\left(\frac{dU_o^\lambda}{d\lambda} \right)_{\lambda=1} = U_o.$$

The kinetic energy of independent particles is a sum of the occupied one-particle kinetic energies and is thus an implicit functional of the density. With the density scaled as in Eq. (A2), the orbitals scale like

$$\varphi^\lambda = \lambda^{3/2} \varphi(\lambda \mathbf{r}). \quad (\text{A5})$$

Inserting the scaled orbitals in the expression for the kinetic energy, we find

$$\begin{aligned} T_s^\lambda &= -\frac{1}{2} \sum_i^{\text{occ}} \int d^3r \lambda^{3/2} \varphi_i(\lambda \mathbf{r}) \nabla^2 \lambda^{3/2} \varphi_i(\lambda \mathbf{r}) \\ &= -\frac{1}{2} \sum_i^{\text{occ}} \int d^3r \lambda^2 \varphi_i(\mathbf{r}) \nabla^2 \varphi_i(\mathbf{r}) = \lambda^2 T_s, \end{aligned} \quad (\text{A6})$$

and

$$\left(\frac{dT_s^\lambda}{d\lambda} \right)_{\lambda=1} = 2T_s.$$

The external potential energy W scales as

$$W^\lambda = \int d^3r \lambda^3 n(\lambda \mathbf{r}) w(\mathbf{r}) = \int d^3r n(\mathbf{r}) w\left(\frac{\mathbf{r}}{\lambda}\right). \quad (\text{A7})$$

If the external potential is Coulombic, we have

$$\left(\frac{dW^\lambda}{d\lambda} \right)_{\lambda=1} = W.$$

The XC energy E_{XC} is not an explicit functional of n but of G_s . To see how E_{XC} scales, we must first determine how G_s scales, that is, to find that Green's function G_s^λ which corresponds to n^λ for every λ . Taking into account that the orbitals scale as in Eq. (A5), we have

$$-\frac{1}{2} \nabla^2 \varphi_k^\lambda(\lambda \mathbf{r}) = -\frac{\lambda^2}{2} \nabla_\lambda^2 \varphi_k^\lambda(\lambda \mathbf{r}).$$

From the KS equation

$$\left\{ -\frac{1}{2} \nabla^2 + V(\mathbf{r}) \right\} \varphi_k(\mathbf{r}) = \varepsilon_k \varphi_k(\mathbf{r}),$$

we see that

$$-\frac{\lambda^2}{2} \nabla_\lambda^2 \varphi_k^\lambda(\lambda \mathbf{r}) = -\frac{\lambda^2}{2} \{ \varepsilon_k - V(\lambda \mathbf{r}) \} \varphi_k^\lambda(\lambda \mathbf{r}).$$

Thus, the equation that yields the scaled orbitals is

$$\left\{ -\frac{1}{2} \nabla^2 + \lambda^2 V(\lambda \mathbf{r}) \right\} \varphi_k^\lambda(\lambda \mathbf{r}) = \lambda^2 \varepsilon_k \varphi_k^\lambda(\lambda \mathbf{r}). \quad (\text{A8})$$

Consequently, the Green's function scales as

$$G_s^\lambda(\mathbf{r}, \mathbf{r}', \omega) = \lambda G(\lambda \mathbf{r}, \lambda \mathbf{r}', \omega/\lambda^2). \quad (\text{A9})$$

A general Φ diagram of order n can be written as

$$\Phi_n = \frac{1}{2n} \text{Tr} \sum_n [G_s] G_s,$$

where \sum_n is a skeleton diagram of order n . In order n , there are thus n interaction lines, $2n$ coordinates, and $2n$ propagators. Inserting the scaled Green's function, we find that Φ_n scales as

$$\Phi_n^\lambda = \frac{\lambda^{2-n}}{2n} \text{Tr} \sum_n [G_s^\lambda] G_s^\lambda. \quad (\text{A10})$$

A factor of λ^{2n} comes from the number of propagators. The variable substitution gives us a factor of λ^{-6n} , and from the interaction lines, an additional factor of λ^n is obtained. There are $(n+1)$ ω integrations giving a factor of $\lambda^{2(n+1)}$. In total, we obtain a factor of λ^{2-n} . Taking the λ derivative, we obtain

$$\left(\frac{d\Phi_n^\lambda}{d\lambda} \right)_{\lambda=1} = (2-n)\Phi_n.$$

Summing over n yields

$$\begin{aligned} \left(\frac{d\Phi^\lambda}{d\lambda} \right)_{\lambda=1} &= \sum_n \left(\frac{d\Phi_n^\lambda}{d\lambda} \right) = \sum_n (2-n)\Phi_n = 2\Phi - \sum_n n\Phi_n \\ &= 2iE_{\text{XC}}[G_s] - iU_{\text{XC}}[G_s], \end{aligned} \quad (\text{A11})$$

where

$$U_{\text{XC}}[G_s] = -\frac{i}{2} \sum_n \text{Tr} \sum^n [G_s] G_s.$$

Summing all the terms, we have proven the virial theorem,

$$\begin{aligned} 0 &= 2T_s + U_o + W + 2E_{\text{XC}} - U_{\text{XC}} = 2T_s + 2T_{\text{XC}} + U_o + W \\ &\quad + U_{\text{XC}}, \end{aligned} \quad (\text{A12})$$

where

$$T_{\text{XC}} \equiv E_{\text{XC}} - U_{\text{XC}}.$$

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