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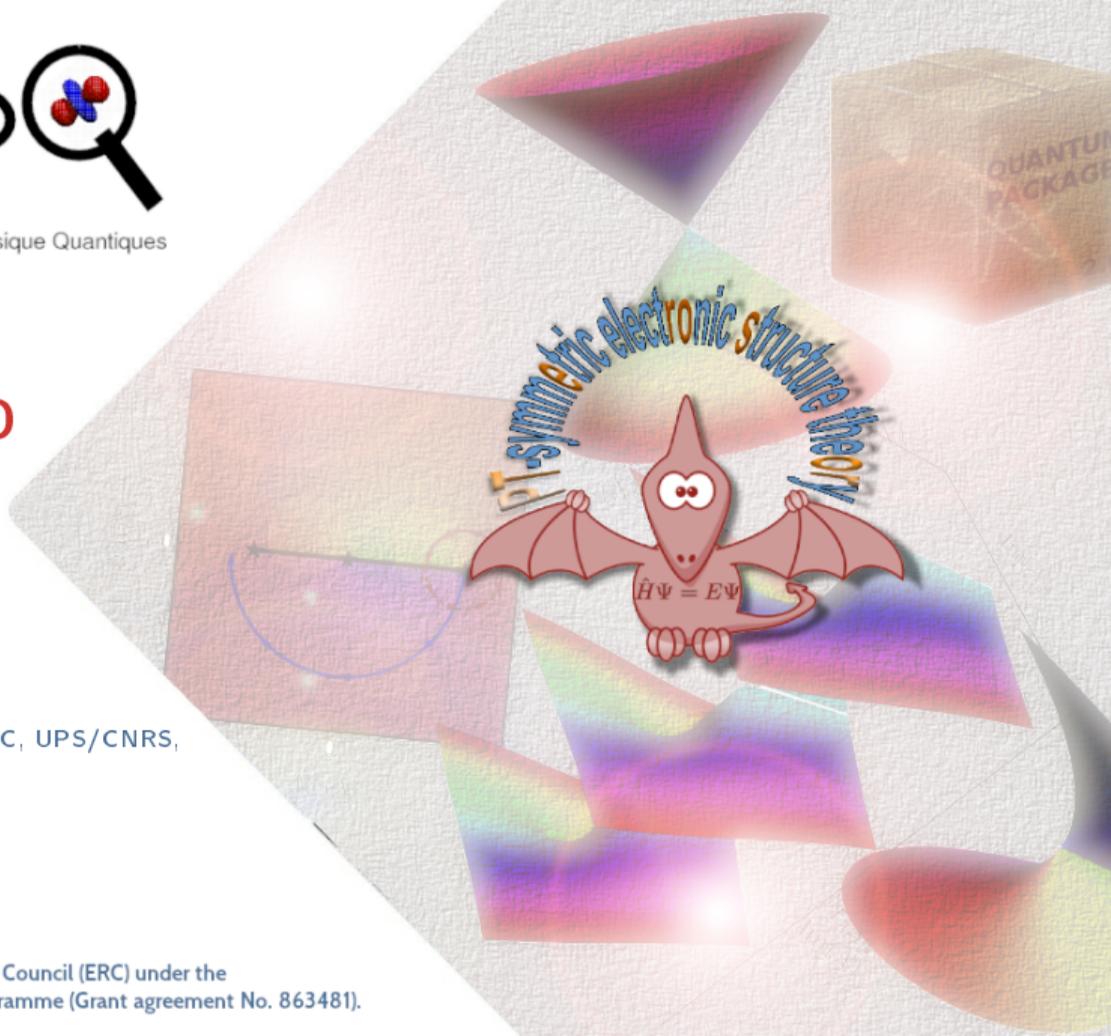
# Orbital optimized pCCD solutions for $H_4$

Fábris Kossoski

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Laboratoire de Chimie et Physique Quantiques, IRSAMC, UPS/CNRS,  
Toulouse

<https://lcpq.github.io/pterosor>



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Usual exponential ansatz:

$$|\Psi\rangle = e^T |0\rangle$$

where the excitation operator

$$T = \sum_{ia} t_i^a P_a^\dagger P_i$$

and singlet paired operators

$$P_q^\dagger = c_{q\alpha}^\dagger c_{q\beta}^\dagger$$

Substitution into the Schroedinger equation leads to

$$E = \langle 0 | e^{-T} H e^T | 0 \rangle$$

$$0 = \langle 0 | P_i^\dagger P_a e^{-T} H e^T | 0 \rangle$$



Equations for the energy

$$E = \langle 0|H|0\rangle + \sum_{ia} t_i^a v_{aa}^{ii}$$

and  $k = n_O \times n_V$  polynomial equations for the t-amplitudes:

$$\begin{aligned} 0 = & v_{ii}^{aa} + 2 \left( f_a^a - f_i^i - \sum_j v_{aa}^{jj} t_j^a - \sum_b v_{bb}^{ii} t_i^b \right) t_i^a \\ & - 2 \left( 2v_{ia}^{ia} - v_{ai}^{ia} - v_{aa}^{ii} t_i^a \right) t_i^a \\ & + \sum_b v_{bb}^{aa} t_i^b + \sum_j v_{ii}^{jj} t_j^a + \sum_{jb} v_{bb}^{jj} t_j^a t_i^b \end{aligned}$$

where  $f_q^p$  is an element of the Fock operator and  $v_{rs}^{pq} = \langle \phi_p \phi_q | V_{ee} | \phi_r \phi_s \rangle$  is a two-electron integral.



Since we want to optimize the orbitals, the energy must be expressed as an expectation value, and thus we have to introduce the de-excitation operator:

$$Z = \sum_{ia} z_a^i P_i^\dagger P_a$$

Writing  $\varepsilon = \langle 0 | (1 + Z) e^{-T} H e^T | 0 \rangle$  leads to  $k = n_O \times n_V$  polynomial equations for the z-amplitudes:

$$\begin{aligned} 0 = & v_{aa}^{ii} + 2 \left( f_a^a - f_i^i - \sum_j v_{aa}^{jj} t_j^a - \sum_b v_{bb}^{ii} t_i^b \right) z_a^i \\ & - 2 (2v_{ia}^{ia} - v_{ai}^{ai} - v_{aa}^{ii} t_i^a) z_a^i - 2v_{aa}^{ii} \left( \sum_j z_a^j t_j^a + \sum_b z_b^i t_i^b \right) \\ & + \sum_b v_{aa}^{bb} z_b^i + \sum_j v_{jj}^{ii} z_a^j + \sum_{jb} t_j^b (v_{bb}^{ii} z_a^j + v_{aa}^{jj} z_b^i) \end{aligned}$$

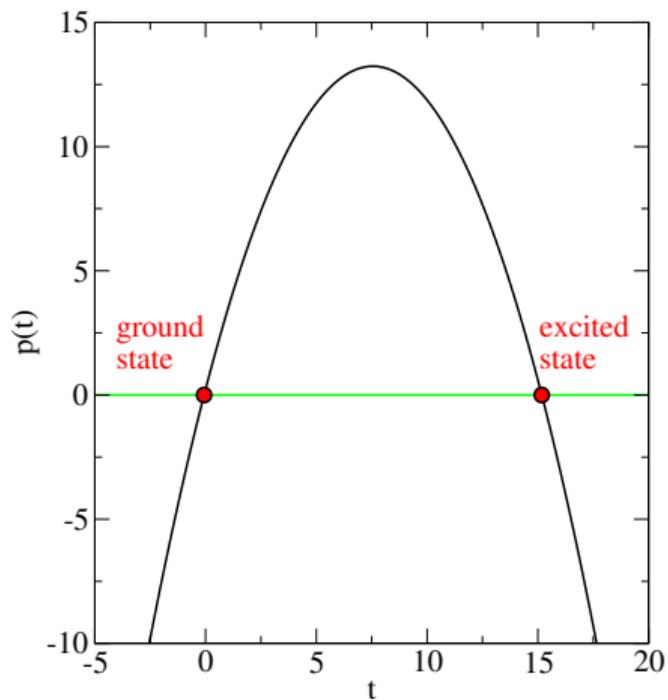


Figure: pCCD polynomial  $p(t)$

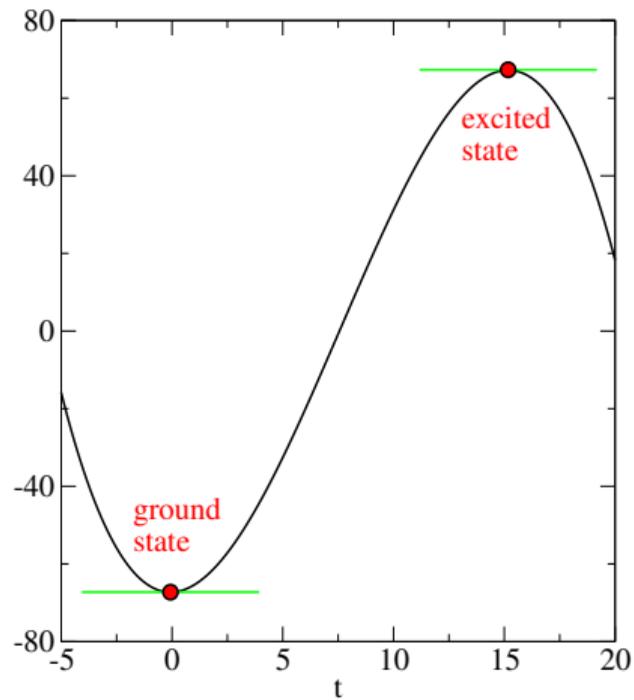


Figure: Integrated pCCD polynomial  $\int p(t) dt$



- ▶ Multidimensional problem is more complicated:

$$p_1(t_1, t_2 \dots t_k) = 0$$

$$p_2(t_1, t_2 \dots t_k) = 0$$

$$\vdots$$

$$p_k(t_1, t_2 \dots t_k) = 0$$

- ▶ In matrix notation:

$$\mathbf{p}(\mathbf{t}) = \mathbf{0} \tag{1}$$



$$\mathbf{p}(\mathbf{t}) = 0 \quad (2)$$

- ▶ With the Newton-Raphson root finding method, the solution is found iteratively. Taylor expand  $\mathbf{p}(\mathbf{t})$  to first order:

$$\mathbf{p}(\mathbf{t}) \approx \mathbf{p}(\mathbf{t}_0) + \mathbf{J}(\mathbf{t}_0)\Delta\mathbf{t}$$

- ▶ where  $\Delta\mathbf{t} = \mathbf{t} - \mathbf{t}_0$ , and  $\mathbf{J} = \partial\mathbf{p}/\partial\mathbf{t}$  is the Jacobian.



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- ▶ where  $\Delta\mathbf{t} = \mathbf{t} - \mathbf{t}_0$ , and  $\mathbf{J} = \partial\mathbf{p}/\partial\mathbf{t}$  is the Jacobian.
- ▶ In the next step, move to the approximate solution  $\mathbf{p}(\mathbf{t}) \approx \mathbf{0}$ :

$$\mathbf{0} = \mathbf{p}(\mathbf{t}_0) + \mathbf{J}(\mathbf{t}_0)\Delta\mathbf{t}$$

- ▶ Such that:

$$\mathbf{t}_{n+1} = \mathbf{t}_n - [\mathbf{J}(\mathbf{t}_n)]^{-1}\mathbf{g}(\mathbf{t}_n)$$

- ▶ This involves building and inverting the  $k \times k$  Jacobian matrix



- ▶ Newton-Raphson algorithm:

$$\mathbf{t}_{n+1} = \mathbf{t}_n - [\mathbf{J}(\mathbf{t}_n)]^{-1} \mathbf{g}(\mathbf{t}_n)$$

- ▶ One usually employs a constant diagonal approximation to the full Jacobian:

$$J_{ia,ia} = \frac{\partial p_i^a(\mathbf{t})}{\partial t_i^a} \approx 2(f_a^a - f_i^i)$$

- ▶ This is good enough for the ground state but not for excited states



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- ▶ This is good enough for the ground state but not for excited states
- ▶ For that, the full Jacobian is required
- ▶ Employing the complete (t-dependent) diagonal works most of the time:

$$J_{ia,ia} = 2f_a^a - 2f_i^i - 4v_{ia}^{ia} + 2v_{ai}^{ia} + v_{aa}^{aa} + v_{ii}^{ii} - \sum_j v_{jj}^{aa} t_j^a - \sum_b v_{ii}^{bb} t_i^b$$



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- ▶ Equation for the z-amplitudes are linear. Newton-Raphson is exact!

